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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 20 2007

Applicants: David Barrington et al.  
Application No.: 09/932,013  
Filed: August 17, 2001  
Title: SECURE MULTIPLE  
APPLICATION CARD SYSTEM AND  
PROCESS  
Group Art Unit: 2137  
Examiner: Matthew B. Smithers  
Attorney Docket No.: 40000059-0150  
Confirmation No.: 4815

## OFFICE OF PETITIONS

INFORMATION DISCLOSURE  
STATEMENTSONNENSCHEIN NATH & ROSENTHAL LLP  
Customer No. 26263

**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date given below.

*July 20, 2007*  
date of signature

*Edward J. Radio*  
Edward J. Radio, Reg. No. 06,793

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR § 1.56 and § 1.97-§1.98, Applicants hereby submit information enclosed herewith and listed on the enclosed Form Substitute 1449B/PTO of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose. This IDS is being filed simultaneously with a Request for Continued Examination under 37 CFR §1.114.

A single non-patent reference is set forth on the enclosed Form Substitute 1449B/PTO. A copy of the item is supplied herewith. Applicants became aware of this item as the result of a post-grant opposition proceeding involving a related patent.

-2-

While the information disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, submission of this IDS is not intended to constitute an admission that any information referred to herein is "prior art" for this invention unless specifically designated as such.

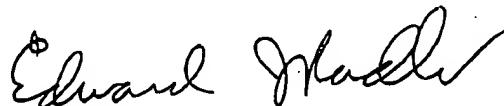
In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that this Information Disclosure Statement complies with 37 CFR § 1.98 and MPEP § 609, and the Examiner is respectfully requested to consider the listed reference.

Applicants believe no fee is due. However, the Commissioner is hereby authorized to charge our Deposit Account No. 19-3140 for any fees required in connection with the filing of this Information Disclosure Statement. This sheet is being submitted in duplicate.

Respectfully submitted,

date of signature:

July 20, 2007



Edward J. Radlo  
Reg. No. 26,793  
Attorney of Record

SONNENSCHEIN NATH & ROSENTHAL LLP  
P.O. Box 061080  
Wacker Drive Station, Sears Tower  
Chicago, Illinois 60606-1080  
(415) 882-2402

enclosures

cc: IP/T docket CH (w/ Form 1449 only)  
B. McKeon ( " " )

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 date of signature *Edward J. Radlo*  
 Edward J. Radlo, Reg. No. 26,793

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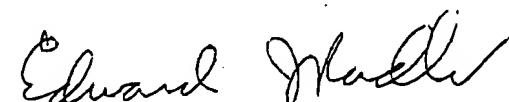
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B. McKeon ( " " )

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Substitute for Form 1449B/PTO				Complete if Known	
				Application Number	09/932,013
				Filing Date	August 17, 2001
				First Named Inventor	David Barrington EVERETT
				Group Art Unit	2137
				Examiner Name	Matthew B. Smithers
Sheet	1	of	1	Attorney Docket No.	40000059-0150

## OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		FERREIRA, Ronald et al., "Key Management Protocols Using Smart Card," <u>Smart Card 2000: The Future of IC Cards</u> , Proc. of IFIP WG 11.6 Int. Conf., Laxenburg, Austria, pp. 167-177, October 19-20, 1987.	
Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.